

Constructing a pathway for mixed ion and electron transfer reactions for O₂ incorporation in Pr_{0.1}Ce_{0.9}O_{2-x}

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In interfacial charge-transfer reactions, the complexity of the reaction pathway increases with the number of charges transferred, and becomes even greater when the reaction involves both electrons (charge) and ions (mass). These so-called mixed ion and electron transfer (MIET) reactions are crucial in intercalation/insertion electrochemistry, such as that occurring in oxygen reduction/evolution electrocatalysts and lithium-ion battery electrodes. Understanding MIET reaction pathways, particularly identifying the rate-determining step (RDS), is crucial for engineering interfaces at the molecular, electronic and point defect levels. Here we develop a generalizable experimental and analysis framework for constructing the reaction pathway for the incorporation of O₂(g) in Pr_{0.1}Ce_{0.9}O_{2-x}. We converge on four candidates for the RDS (dissociation of neutral oxygen adsorbate) out of more than 100 possibilities by measuring the current density-overpotential curves while controlling both oxygen activity in the solid and oxygen gas partial pressure, and by quantifying the chemical and electrostatic driving forces using operando ambient pressure X-ray photoelectron spectroscopy.

Mixed ion and electron transfer (MIET) reactions involve the transfer of both ionic and electronic charges across interfaces. They are substantially more complex than electron transfer and proton-coupled electron transfer reactions because the ions also cross the electrochemical double layer¹. The net reactions are usually chemical in nature (that is, no net charge transfer). Examples include, H⁺ intercalation in layered hydroxides and Li⁺ insertion in metal oxides (Fig. 1a,b)². Another ubiquitous example is the oxygen-incorporation reaction (OIR) occurring at the solid-gas interface (Fig. 1c). The OIR is rate determining for many energy- and environment-related technologies, including oxygen storage materials for emission control³, solid-oxide fuel cells (SOFCs)⁴, electrolysis cells⁵, thermochemical water splitting cycles⁶ and oxygen permeation membranes⁷. The OIR is expressed as



Understanding the OIR reaction pathway is crucial for engineering and discovering catalysts, typically oxides, with high activity and stability^{8,9}. Mixed ionic-electronic conductors (MIECs) have received widespread interest because they expand the effective OIR site to the gas-solid double-phase boundary, beyond the traditional triple-phase boundary between the gas, ionic and electronic conductors^{10,11}. On these double-phase boundaries, oxygen ions and electrons react with oxygen adsorbates at the same active site, resulting in a reaction that involves the transfer of two oxygen ions and four electrons.

The number of charges transferred during the OIR has made it challenging to isolate the rate-determining step (RDS). Most

experimental work has focused on measuring the exchange coefficients¹² using tracer diffusion¹³, conductivity and mass relaxation¹⁴ and impedance spectroscopy¹⁵, as well as the reaction order with respect to oxygen gas pressure ($p\text{O}_2$) and activation energy^{16,17}. The reaction order is then used to refine microkinetic models, typically by assuming the nature of the reaction intermediates^{9,18-21}, and sometimes with the assistance of atomistic simulations^{21,22}. While useful, these methods convolute forward and reverse reaction rate constants and do not consider crucial information about the RDS encoded in the overpotential dependence of the current density (that is, the Tafel slope). In studies in which the current density-overpotential ($j-\eta$) curves are measured, analysis of the Tafel slope is non-trivial because the slope is a natural convolution of electrostatic driving forces (surface potential) and chemical driving forces (oxygen activity in the solid state, $a\text{O}_2$). Similarly, analysis of the O₂(g) reaction order is also non-trivial because $p\text{O}_2$ affects not only oxygen gas, but also the concentration of solid-state point defects at the gas-MIEC (oxide) interface^{23,24}, for which procedures for measurement have been developed recently^{25,26}. Finally, the availability of ionic and electronic species for the OIR are generally inferred from the bulk measurements, whereas recent studies have shown that the surface chemistry and stoichiometry can differ significantly from those of the bulk. Moreover, the surface can also deviate from electroneutrality²⁷⁻²⁹.

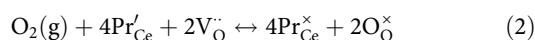
To isolate the RDS of the OIR, one must address the challenging task of experimentally determining the surface point defect chemistry, quantifying the electrostatic and chemical driving forces and interpreting the O₂(g) reaction order and the Tafel slope simultaneously. In this work, we present an experimental and

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analysis framework and apply it to the OIR on $\text{Pr}_{0.1}\text{Ce}_{0.9}\text{O}_{2-x}$ (praseodymium cerium oxide, PCO), a promising SOFC cathode with a well-established point defect chemistry^{30–32}. Combining current density–overpotential with operando surface potential and electron concentration measurements, we probe the roles of oxygen vacancies and electrons in the four-electron/two-ion MIET reaction and converge on the RDS: the dissociation of neutral molecular oxygen adsorbate. This generalizable method can also be applied to study other MIET reactions, including those occurring at solid–liquid and solid–solid interfaces.

Results

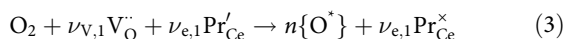
Microkinetic model. Before discussing the microkinetic framework for analysing the OIR reaction order and Tafel slope, we briefly review the equilibrium point defect chemistry of PCO^{30,33}. The charge carriers are small polaronic electrons and oxygen vacancies generated via the reduction of Pr^{4+} to Pr^{3+} with decreasing a_{O_2} , as described by the following reaction written in Kröger–Vink notation:



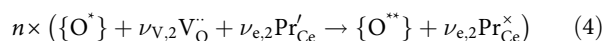
where electrons (or equivalently Pr^{3+}) are denoted by Pr'_{Ce} , oxygen vacancies by $\text{V}_{\text{O}}^{\cdot\cdot}$ and Pr^{4+} by $\text{Pr}^{\times}_{\text{Ce}}$. The $\text{Ce}^{4+}/\text{Ce}^{3+}$ redox pair does not participate in the OIR under oxidizing conditions^{30,33}. Bulk defect activities are shown in Supplementary Note 1.

In our microkinetic model²⁵, we assume that one elementary reaction step is the RDS and that all the other elementary steps occur in series and are in quasi-equilibrium. We group the elementary steps into preceding, rate-determining and following steps¹. Rather than explicitly specifying these intermediate species, as in previous work^{17,18,34,35}, we use $\{\text{O}^{\cdot}\}$ and $\{\text{O}^{**}\}$ to denote the reactant(s) and product(s) of the oxygen intermediate(s) involved in the RDS, respectively. The reaction pathway is then written generally as in equations (3)–(5).

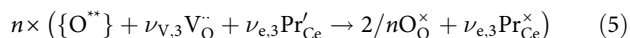
Preceding reaction:



RDS:



Following reaction:



Here $\nu_{\text{V},1}$, $\nu_{\text{V},2}$ and $\nu_{\text{V},3}$ are stoichiometric coefficients corresponding to the number of oxygen vacancies participating in the preceding, rate-determining and following steps. Analogously, $\nu_{\text{e},1}$, $\nu_{\text{e},2}$ and $\nu_{\text{e},3}$ are the stoichiometric coefficients of the electrons in these steps (the possible roles of electron holes will be discussed later). Thus, these integer stoichiometric coefficients sum up to 2 and 4, respectively. The RDS can occur more than once. For example, if one O_2 molecule dissociates into two identical atomic oxygen adsorbates preceding the RDS, then the RDS will occur twice. We use n to specify the number of times that the RDS repeats per O_2 gas molecule.

Under strongly cathodic conditions, the anodic current density is negligible, and the current density (j) can be written as (detailed in Supplementary Note 3):

$$j \propto p_{\text{O}_2}^{1/n} a_{\text{O}_2}^{\lambda} \exp\left(\frac{(\beta z - \gamma_{\text{pre}}/n)e\chi}{k_{\text{B}}T}\right) \quad (6)$$

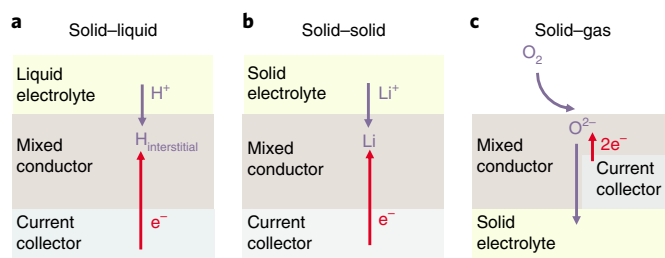


Fig. 1 | Examples of MIET reactions. **a**, Proton intercalation in hydroxides occurring at the liquid–solid interface. **b**, Lithium intercalation in metal oxides occurring at the solid–solid interface. **c**, Oxygen-incorporation reaction occurring at the solid–gas interface.

where

$$\lambda = \left(\frac{\nu_{\text{V},1}}{n} + \nu_{\text{V},2}\right) \frac{\partial \log a(\text{V}_{\text{O}}^{\cdot\cdot})}{\partial \log a_{\text{O}_2}} \Bigg|_{T, p_{\text{O}_2}} + \left(\frac{\nu_{\text{e},1}}{n} + \nu_{\text{e},2}\right) \frac{\partial \log a(\text{Pr}'_{\text{Ce}})}{\partial \log a_{\text{O}_2}} \Bigg|_{T, p_{\text{O}_2}} - \frac{\nu_{\text{e},1}}{n} \frac{\partial \log a(\text{Pr}^{\times}_{\text{Ce}})}{\partial \log a_{\text{O}_2}} \Bigg|_{T, p_{\text{O}_2}} \quad (7)$$

$$a_{\text{O}_2} = p_{\text{O}_2} \exp\left(\frac{4e\eta}{k_{\text{B}}T}\right) \quad (8)$$

Equation (8), which is the Nernst equation (k_{B} is the Boltzmann constant and T the temperature), describes the relationship between the oxygen partial pressure in the gas phase and the oxygen activity in the electrode. There are two degrees of freedom between overpotential (η), p_{O_2} and a_{O_2} . For clarity, constants and equilibrium concentrations and potential are not shown in equation (6). Here, z is the total number of electrons and/or ions migrating across the gas–solid interface in the RDS, β is the symmetry parameter of this electrochemical step (if $z \neq 0$) and γ_{pre} determines the dependence of the preceding equilibrium on the surface potential χ .

The kinetic parameters n and λ in equation (6) reflect the reaction mechanism. The term n , as mentioned, represents the number of times that the RDS repeats and directly describes the dependence of the current density on p_{O_2} , and λ is a measure of the overall dependence of point defect activities on a_{O_2} . It should be noted that, for a given combination of a_{O_2} , p_{O_2} and overpotential, the electrode and the surface chemistry can be unique. Therefore, n can depend on a_{O_2} , and likewise λ can depend on p_{O_2} . The model reconciles adsorbate chemistry with point defect chemistry at the solid–gas interface under electrochemical bias. Next, we obtain n and λ experimentally, and shed light on the kinetics of MIET steps in the OIR.

Electrochemical characteristics. We fabricated 150-nm-thick, dense, thin-film PCO electrodes on single-crystalline yttria-stabilized zirconia (YSZ) solid electrolytes via pulsed-laser deposition (see Methods). A buried Pt microfabricated current collector is used to eliminate its contribution to the reaction pathway and to ensure uniform electronic current collection. An oversized and highly active counter electrode was also used to eliminate contributions from the counter electrode. In this cell configuration, electrochemical polarization at the Pt–YSZ interface drives the chemical OIR at the gas–solid interface. We note that electronic conductivity in PCO decreases with p_{O_2} : above $\sim 10^{-3}$ atm, impedance spectroscopy indicates an in-plane electronic transport gradient. This is a result of the geometry of the microfabricated current collector employed. For this reason, we limit the p_{O_2} to below $\sim 10^{-3}$ atm, which is lower than that in typical SOFC cathodes.

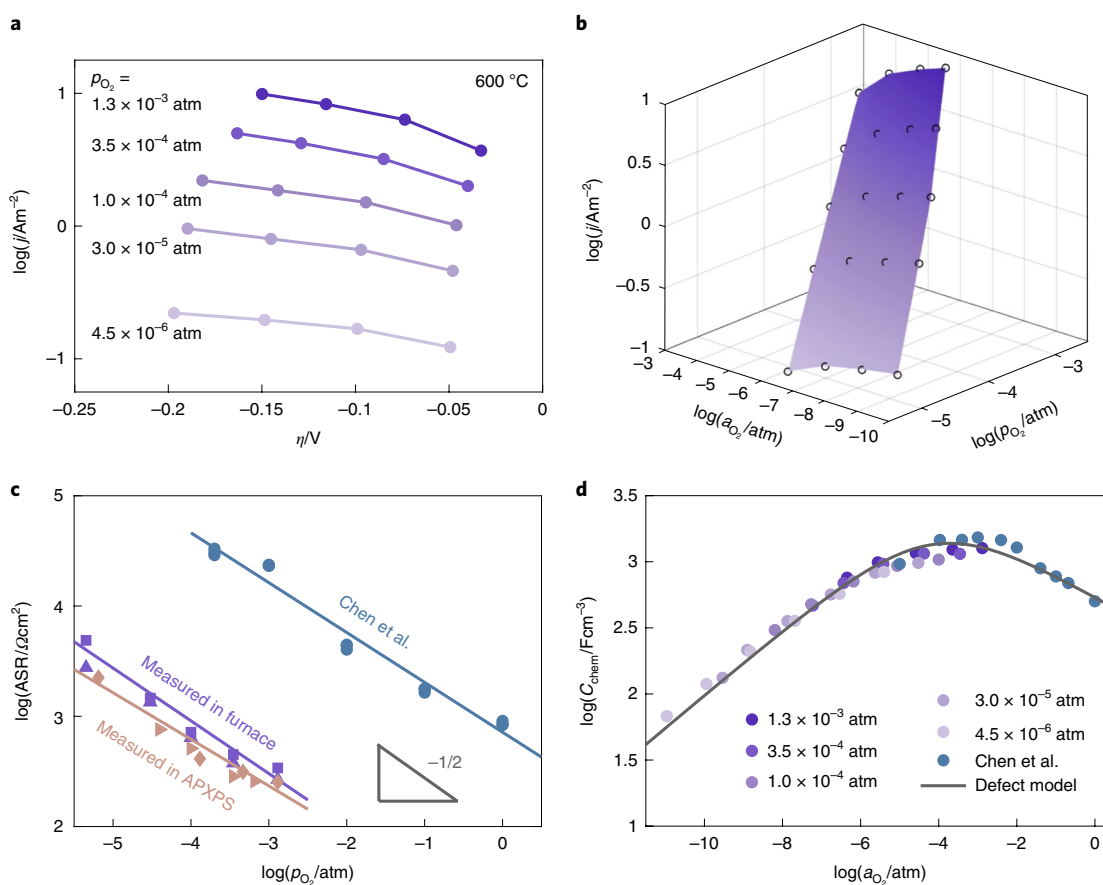


Fig. 2 | Electrochemical measurement results. **a**, Overpotential dependence of current density of PCO at 600 °C. The symbols indicate experimental data. The lines are used to guide the eye. The overpotential is ohmic-corrected and validated by C_{chem} and peak shift in APXPS spectra. **b**, Reconstruction of **a** versus $p\text{O}_2$ and $a\text{O}_2$ using equation (8). The curved surface is a two-dimensional fitting of the experimental results. **c**, $p\text{O}_2$ dependence of open circuit area-specific resistance (ASR) of PCO at 600 °C. The purple symbols indicate experimental data from this study, measured in a tube furnace. The peach symbols indicate experimental data from this study, measured in the APXPS chamber. The navy symbols indicate experimental data from the literature, measured in a tube furnace, using a quartz tube as the testing tube and Au paste as the current collector³⁶. Solid lines represent linear fitting of the experimental data. **d**, $a\text{O}_2$ dependence of volume-specific chemical capacitance (C_{chem}) of the PCO film at 600 °C. The symbols indicate experimental data in this study compared with the literature³⁶. The solid line represents fit of the bulk defect model to experimental data.

Current density–overpotential curves were measured as functions of $p\text{O}_2$ at 600 °C, using both a conventional electrochemical rig and a button heater inside an ambient pressure X-ray photoelectron spectroscopy (APXPS) chamber. The overpotential-dependent current density at different $p\text{O}_2$ values at 600 °C is shown in Fig. 2a. We then calculated $a\text{O}_2$ via the Nernst equation (equation (8)), and plotted the current density as a function of both $a\text{O}_2$ and $p\text{O}_2$ (Fig. 2b)³⁶. We verified that the electrode had reached steady state and that degradation was not convoluting the measurement (Supplementary Fig. 1a). Reproducibility was excellent amongst four cells (Fig. 2c). We note that the area-specific resistance at open circuit is approximately two orders of magnitude lower than that reported in a previous study³⁶, although the reaction order with $p\text{O}_2$ is comparable. We attribute this improvement to the lower impurity level in this study: only Au and Pt wires and alumina were in contact with the sample and the hot gas, in contrast to previous measurements³⁷. The reaction order measured in this study also agrees broadly with Simons et al., in which a reaction order of 0.67 was measured by a mass relaxation method on PCO³⁸. We also carried out impedance spectroscopy under bias (Supplementary Fig. 1b). The Nyquist plot is well described by a simple serial resistor–capacitor network. For ohmic-corrected overpotentials from –200 to 0 mV, the absence of a Warburg-like feature confirms that electronic

and ionic diffusion gradients are small, even under bias, indicating that our cell is limited by the OIR surface reaction. Nevertheless, we cannot completely rule out equilibrium or transport gradients in the near-surface region. If such a gradient exists, one has to relate the oxygen activity at the surface to that in the bulk.

The application of equation (8) requires precise measurement and control of overpotential, which is challenging for solid-state electrochemical cells^{39,40}. To confirm that we have indeed measured the overpotential accurately, we used the chemical capacitance (C_{chem}) of PCO as an internal gauge of $a\text{O}_2$ (Fig. 2d). We find that C_{chem} is only a function of $a\text{O}_2$ at open circuit and under bias, that is, values measured at different $a\text{O}_2$ (either by changing $p\text{O}_2$ or changing the overpotential) collapse onto a single line. This directly confirms that the overpotential was determined accurately. Fitting a bulk defect chemical model to C_{chem} in our thin-film electrode gives defect formation energetics comparable to bulk values (Supplementary Note 2).

Operando characterization of gas–solid interface. As localized electrons on the PCO surface are reactants of the OIR, we used operando Pr M-edge X-ray absorption spectroscopy (XAS) in partial electron-yield detection mode to determine the near-surface oxidation state of PCO as a function of overpotential (Fig. 3a,b).

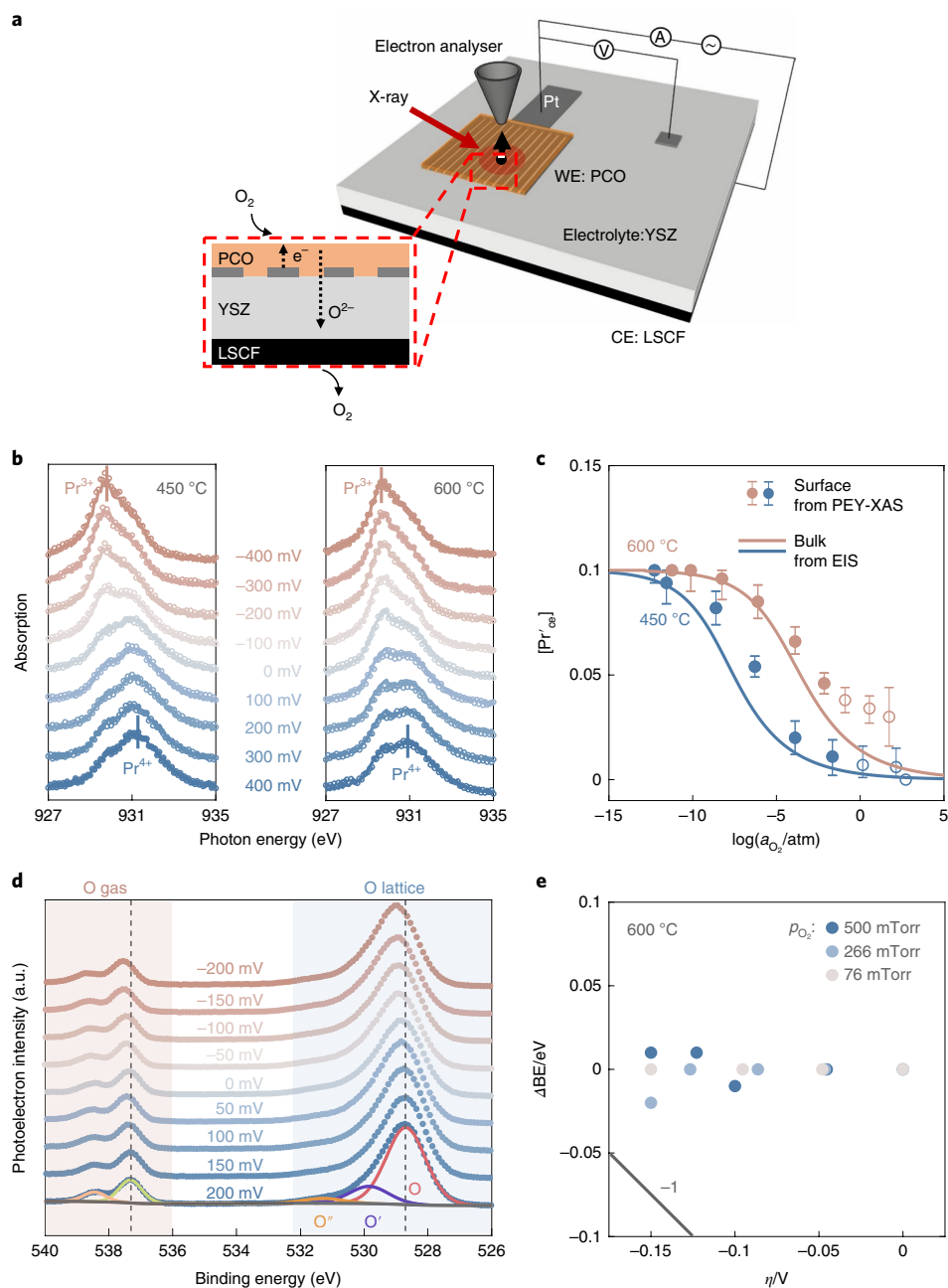


Fig. 3 | Experimental set-up and evolution of surface electron concentration and surface potential with overpotential. **a**, A schematic depicting the experimental set-up. The cell is based on a YSZ solid-oxide electrolyte, with dense PCO as the working electrode (WE) and $(\text{La}_{0.6}\text{Sr}_{0.4})(\text{Co}_{0.2}\text{Fe}_{0.8})\text{O}_3$ (LSCF) as the counter electrode (CE). Patterned Pt is embedded in the PCO thin film for efficient current collection. **b**, Pr M_4 -edge measured by ambient pressure X-ray absorption spectroscopy (XAS) in partial electron-yield (PEY) detection mode at 450 °C and 600 °C at $p_{\text{O}_2} = 100$ mTorr, as a function of overpotential. The symbols are experimental data. The lines are linear combination fitting of spectra under the most oxidized (450 °C, +400 mV) and most reduced (600 °C, -400 mV) conditions. **c**, a_{O_2} dependence of the small polaron concentration (Pr^{3+} in PCO) on the surface measured by APXAS (symbols), and in the bulk calculated using chemical capacitance data (solid lines). The a_{O_2} of the filled symbols were validated by chemical capacitance. The a_{O_2} of the empty symbols could not be validated by chemical capacitance because their electrochemical impedance spectra (EIS) are highly distorted. Error bars are reported for an approximate 99% confidence of the linear combination. **d**, Normalized O 1s APXPS spectra at various overpotential at 600 °C in 6.6×10^{-4} atm (500 mTorr). The overpotential is validated by C_{chem} . For lattice oxygen peaks, the main peak in red and shoulder peaks in purple and orange are due to lattice oxygen (O), oxygen bonded to the Si impurity (O') and surface O (O''), respectively. Spectra were taken at a photon energy of 690 eV corresponding to a probing depth of 0.6 nm. The BE is calibrated with the Au $4f_{7/2}$ peak of gold foil connected to the PCO electrode. a.u., arbitrary units. **e**, The shift of surface potential with overpotential at 600 °C. The values are plotted relative to open-circuit conditions.

Two end members (spectra measured at +400 mV and 450 °C and spectra measured at -400 mV and 600 °C) are consistent with the Pr^{4+} and Pr^{3+} states, respectively^{41,42} (Supplementary Fig. 6). We then

obtained the fraction of Pr in the 3+ state, $[\text{Pr}^{3+}]$, through a linear combination fitting consisting of the end members (Fig. 3b,c and Supplementary Fig. 7). The results are comparable to those

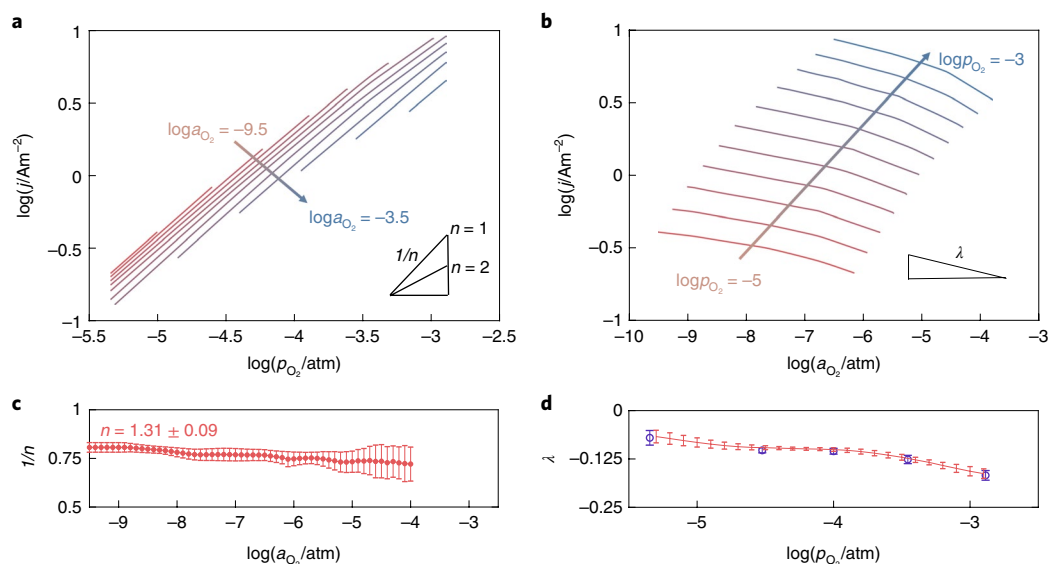


Fig. 4 | Reaction orders for OIR. **a**, $p\text{O}_2$ dependence of current density at several constant $a\text{O}_2$. **b**, $a\text{O}_2$ dependence of current density at several constant $p\text{O}_2$. **c,d**, The reaction orders $1/n$ and λ , calculated from **a** and **b**, respectively. The error bars are calculated from three electrodes. The blue symbols in **d** indicate specific $p\text{O}_{2,s}$ shown in Fig. 2a.

reported by Lu et al. at 450 °C (ref. ⁴³). Figure 3c compares the surface defect concentrations to bulk values. Praseodymium becomes fully reduced to 3+ at comparable $a\text{O}_2$, both at the surface and in the bulk; at more oxidizing $a\text{O}_2$, the surface is slightly more reduced than the bulk. Direct quantification of surface oxygen vacancies, the other important participant in the OIR, was not possible, probably reflecting a low concentration due to PCO having a bulk oxygen vacancy concentration of $\leq 2.5\%$ (Supplementary Fig. 4c).

The presence of charged adsorbates and charged ions near the surface establishes a potential drop, χ , with deviation relative to the open-circuit value contributing to the reaction rate^{23,25,44}. For a metal electrode, such a potential drop is trivially related to the overpotential. However, for an MIET, it cannot be inferred from electrochemical measurement, nor independently controlled. Here, we estimate χ using operando APXPS via shifts of O 1s photoemission peaks^{45–47}. Measurements were performed at oxygen gas pressures ranging from 0.03 to 1 Torr O_2 atmosphere at 600 °C. Specifically, we compare the binding energy (BE) of oxygen gas and lattice oxygen on the PCO surface (Fig. 3d), which was carried out at the same time as the XAS measurement. For the lattice oxygen peak, we identified a total of three oxygen species at an information depth of ~ 0.6 nm (for the definition of surface sensitivity, see ref. ⁴⁵). On the basis of earlier photoemission studies, we assigned the most intense peak (the blue peak denoted as O) to bulk-like lattice oxygen in PCO⁴⁵. The two smaller peaks at relative BEs of ~ 1.2 and ~ 2.6 eV (the purple peak denoted as O' and cyan peak denoted as O'', respectively) are attributed to oxygen bonded to segregated silicon impurities and surface adsorbates. These relative BEs between O', O'' and lattice O did not change with bias or $p\text{O}_2$ (Supplementary Fig. 4b).

We now consider the BEs of the oxygen gas and lattice oxygen, the difference in which is related to the surface potential⁴⁵. As shown in Fig. 3d, the spectra of the oxygen gas and oxygen lattice core levels both shift systematically with applied overpotential. Quantitative analysis reveals that both the oxygen gas and oxygen lattice core levels shifted by approximately 1 eV per 1 V of applied overpotential (Supplementary Fig. 4a). This means that the surface potential is essentially constant under all conditions examined (Fig. 3e), simplifying the current density expression in equation (6) to $j \propto p_{\text{O}_2}^{1/n} a_{\text{O}_2}^\lambda$. This result is consistent with the findings of our

recent study of CeO_{2-x} , in which only strongly polar adsorbates (such as OH^-) induce a change in χ with overpotential⁴⁵.

Analysis of current density–overpotential curves. To obtain the $\text{O}_2(\text{g})$ reaction order ($1/n$), the slope of $\log j - \log p\text{O}_2$ was evaluated at constant $a\text{O}_2$ values (Fig. 4a); n has an average of ~ 1.3 from measurement of three electrodes and is largely independent of $a\text{O}_2$, indicating that the RDS occurs once (Fig. 4c). This directly suggests that the RDS involves a molecular oxygen intermediate. The deviation from 1 may be explained by non-dilute adsorption surface sites (details in Supplementary Note 9) and/or the emergence of co-limiting RDSs⁴⁸. We followed a similar procedure to obtain λ , the reaction order on $a\text{O}_2$ (Fig. 4b,d). We obtain an average value for λ of -0.13 , which tends to 0 at low $a\text{O}_2$.

At first glance, if λ is given, it appears to be straightforward to use equation (7) to solve the combination of stoichiometric coefficients ($\nu_{\text{V},i}$ and $\nu_{\text{e},i}$) in equations (3)–(5) to identify the MIET pathway. These coefficients enable the number of electrons and oxygen vacancies before, during and after the RDS to be determined. However, we are missing one crucial parameter. As shown in equation (7), interpreting λ requires knowing the oxygen vacancy concentration at the PCO surface, which could not be determined experimentally due to its dilute concentration. While it is common to invoke the electroneutrality approximation to estimate this quantity, the surface may not be electroneutral^{27–29}.

Here, we developed a procedure to estimate these stoichiometric coefficients without knowing the oxygen vacancy concentration. In total, we consider 108 combinations of integer values of n , $\nu_{\text{V},i}$ and $\nu_{\text{e},i}$ (Supplementary Table 2). Each combination corresponds to a possible MIET pathway. Knowing that the OIR proceeds via a molecular pathway, we excluded all the combinations containing $n=2$, leaving 90 possible pathways. Next, we simulate how λ varies with $p\text{O}_2$ for these pathways and compare them to the experimentally measured values. For $\frac{\partial \log[\text{Pr}'_{\text{Ce}}]}{\partial \log a_{\text{O}_2}}$, we used the surface values measured by operando XAS (since $[\text{Pr}'_{\text{Ce}}] < 1$). To address the issue of not knowing the surface oxygen vacancy concentration, we specifically investigate three limiting scenarios. First, we consider $2[\text{V}\cdot] = [\text{Pr}^{3+}]$, which corresponds to an electroneutral surface. Second, we consider $\frac{\partial \log[\text{V}\cdot]}{\partial \log a_{\text{O}_2}} = 0$, whereby oxygen vacancies are

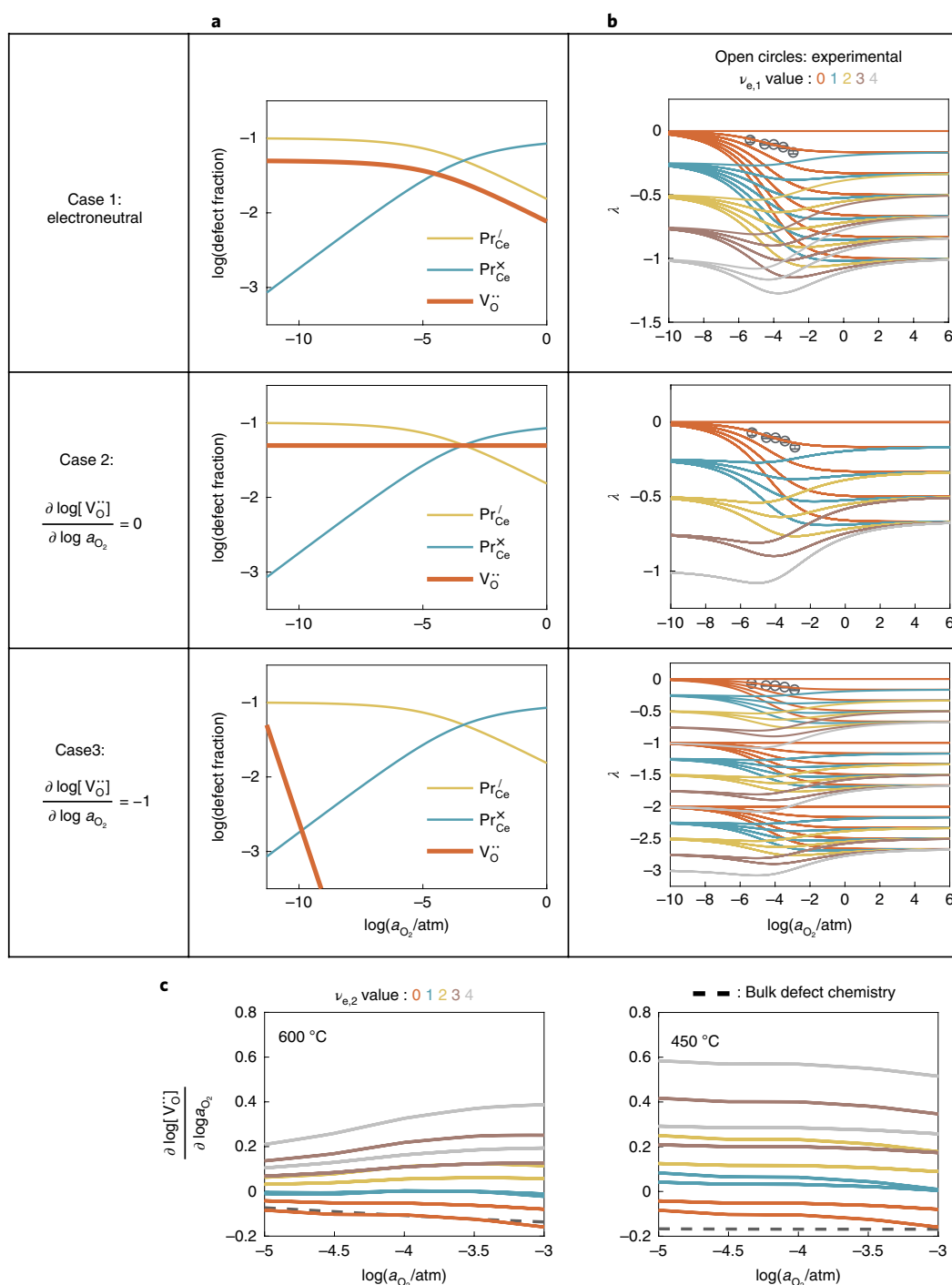


Fig. 5 | Obtaining reaction stoichiometric coefficients ($\nu_{v,i}$ and $\nu_{e,i}$) by analysing λ . **a**, The dependence of simulated defect concentrations on a_{O_2} in PCO at 600 °C, with different $\frac{\partial \log[V_O^{\bullet}]}{\partial \log a_{O_2}}$ as noted. **b**, The simulated a_{O_2} dependence of λ . The solid lines are calculated by equation (8), with the defect concentrations as shown in **a**. Different lines are calculated with different $\nu_{e,1}$ values, as indicated by different colours. The symbols are experimental data. **c**, The a_{O_2} dependence of $\frac{\partial \log[V_O^{\bullet}]}{\partial \log a_{O_2}}$ at 600 °C and 450 °C. The solid lines are back-calculated from the experimental λ using equation (8). Different lines are calculated with different $\nu_{e,2}$ values, as indicated by different colours. The dashed line shows bulk experimental values.

decoupled from electrons leading to build-up of charge, and the surface is saturated with oxygen vacancies such that they do not change with oxygen partial pressure. Finally, we consider $\frac{\partial \log[V_O^{\bullet}]}{\partial \log a_{O_2}} = -1$, which represents a scenario in which oxygen vacancy concentration decreases with oxygen partial pressure more sharply than in the

electroneutral case: defect–defect interactions and other non-idealities could lead to this situation. The simulated defect concentrations under those scenarios are plotted in Fig. 5a, and the corresponding λ values for the 90 candidate molecular pathways are shown in Fig. 5b. Experimentally, we observed that λ tends to 0 under reducing conditions (low a_{O_2}). For all three oxygen vacancy limits

| | $\nu_{V,1}$ | $\nu_{V,2}$ | $\nu_{V,3}$ | $\nu_{e,1}$ | $\nu_{e,2}$ | $\nu_{e,3}$ | Initial state of RDS | Final state of RDS |
|----------|-------------|-------------|-------------|-------------|-------------|-------------|----------------------|--------------------|
| A | 0 | 0 | 2 | 0 | 0 | 4 | | |
| B | 0 | 1 | 1 | 0 | 0 | 4 | | |
| C | 0 | 2 | 0 | 0 | 0 | 4 | | |
| D | 1 | 0 | 1 | 0 | 0 | 4 | | |
| E | 1 | 1 | 0 | 0 | 0 | 4 | | |
| F | 2 | 0 | 0 | 0 | 0 | 4 | | |

Fig. 6 | Reaction mechanism. Combinations of stoichiometric coefficients that satisfy the condition $\nu_{e,1} = \nu_{e,2} = 0$ with their corresponding RDS and final state. The shaded combinations are unlikely. Here $\nu_{V,1}$, $\nu_{V,2}$ and $\nu_{V,3}$ are stoichiometric coefficients corresponding to the number of oxygen vacancies participating in the preceding, rate-determining and following steps. Analogously, $\nu_{e,1}$, $\nu_{e,2}$ and $\nu_{e,3}$ are the stoichiometric coefficients of the electrons in these steps.

considered, only $\nu_{e,1} = 0$ (no electrons transferred before RDS) yields this behaviour (Fig. 5b). Indeed, satisfactory fits to λ can be obtained only for $\nu_{e,1} = 0$, while other values of $\nu_{e,1}$ (1 to 4) yield λ values that are inconsistent with the experimentally measured values. This is because the third term in equation (7) scales directly with $\nu_{e,1}$. With this insight, the number of possible pathways then decreases considerably from 90 to 30.

To further converge on the reaction pathway, we take the experimental value of λ , and back-calculate $\frac{\partial \log[V_{O}]}{\partial \log a_{O_2}}$ for the 30 remaining combinations of stoichiometric numbers of electrons and oxygen vacancies (Fig. 5c). We exclude all the combinations that give a positive $\frac{\partial \log[V_{O}]}{\partial \log a_{O_2}}$ value because the surface oxygen vacancy concentration is unlikely to increase with a_{O_2} . This constraint further lowers the possible combinations from 30 to 6; these six combinations are tabulated in Fig. 6 along with their corresponding RDS. Notably, $\nu_{e,1}$ (number of electrons transferred before the RDS) and $\nu_{e,2}$ (number of electrons transferred during the RDS) are zero for all the combinations in Fig. 6. In other words, electrons are not involved before or during the RDS. In fact, these six reaction pathways are the only ones with this feature amongst the original 108 combinations.

Now, we take a closer look at these remaining six possibilities. Reaction pathway A can be easily excluded, as it results in a zero O_2 reaction order ($\lambda = 0$) for all p_{O_2} , which is inconsistent with the experiments in Fig. 4d. This result is natural as the RDS in reaction A corresponds to $O_2(g)$ adsorption, which leads to a current density that is independent of the defect concentration in the bulk (hence, $\lambda = 0$). Reaction pathway F is also unlikely, as it means that the $O_2(g)$ molecule has been incorporated into a cluster containing two adjacent oxygen vacancies before the RDS (because two oxygen vacancies were transferred before the RDS while the oxygen intermediate remained molecular). The remaining four reaction pathways, B–E,

share two common features: (1) the RDS involves a molecular oxygen adsorbate and (2) electrons are not transferred before or during the RDS. In other words, the RDS is an O_2 dissociation process that involves neutral O_2 (without having been reduced). The differences between the four pathways concern whether 0, 1 or 2 oxygen vacancies are involved in the RDS. For pathways B and C, the initial state involves molecular oxygen adsorbates, whereas for pathways D and E, the initial state involves molecular oxygen already incorporated into an oxygen vacancy. We can also differentiate the pathways on the basis of the final state. In pathways B and D, the final state is one incorporated oxygen ion and one atomic oxygen adsorbate; in pathway C and E, the final state is two incorporated oxygen ions. Our conclusion is consistent with a recent study by Schaube et al. These authors investigated the RDS of PCO using a pulsed isotope exchange method, and found that molecular oxygen species are involved in the RDS (in addition to oxygen vacancies)⁴⁹.

We note that in MIECs, such as $SrTi_{1-x}Fe_xO_{3-\delta}$, it has been proposed that minority, rather than majority, electronic species participate in the RDS³⁴. For completeness, we simulated λ assuming that holes (presumably oxygen holes) are involved in the RDS. The reaction order is not sensitive to whether no electrons or some holes are involved (Supplementary Note 10). Our analysis indicates that studies under anodic overpotentials are needed, which are being pursued. Nevertheless, this ambiguity does not affect the conclusion that the RDS is probably the dissociation of a neutral O_2 adsorbate.

This insight into the reaction mechanism can be used to improve the catalytic activity of ceria as well as other materials for the OIR. Because electrons are not involved before or during the RDS, lowering the electron transfer barrier or increasing concentrations are not expected to substantially improve the surface catalytic activity. Instead, efforts should be focused on decreasing the barrier height for O_2 dissociation and for oxygen incorporation into vacancies,

and increasing concentrations⁵⁰. This insight may also apply to other oxygen-incorporation catalysts that are limited by the availability of surface oxygen vacancies.

In summary, we developed a generalizable experimental and analysis framework to identify the RDS for the oxygen-incorporation reaction on $\text{Pr}_{0.1}\text{Ce}_{0.9}\text{O}_{2-x}$, a promising cathode for solid-oxide fuel cells. By simultaneously measuring how current density depends on oxygen partial pressure and overpotential, as well as how the surface electron concentration and electrostatic potential vary, we eliminate the vast majority of the candidate MIET reaction pathways. The rich information encoded in the oxygen partial pressure and overpotential dependences makes it possible to converge on four possible reaction pathways, even though surface oxygen vacancy concentration could not be measured directly. The robust conclusion that the RDS is probably the dissociation of neutral molecular oxygen adsorbate provides an important mechanistic insight that could further guide the optimization of oxygen-incorporation (electro)catalysts. This analysis method can be straightforwardly extended to other oxygen-ion-conducting electrodes, as well as to other chemistries, to unravel the microkinetics of MIET reactions.

Methods

Sample preparation. Thin-film electrochemical cells were fabricated on $10 \times 10 \times 0.5 \text{ mm}^3$ (100) $\text{Y}_{0.16}\text{Zr}_{0.84}\text{O}_{1.92}$ (YSZ) single-crystal substrates (MTI Corporation), which served as the oxygen-ion-conducting solid electrolyte. The fabrication procedure, described in chronological order, is as follows. First, a Pt-patterned current collector (5 μm line width and 30 μm) was fabricated on the smooth side of the substrate using metal lift-off photolithography. The fabrication procedure was as reported previously⁵¹. Then, 200-nm-thick LSCF ($\text{La}_{0.6}\text{Sr}_{0.4}\text{Co}_{0.2}\text{Fe}_{0.8}\text{O}_{3-\delta}$) thin films were deposited on the back of the YSZ as the counter electrode using pulsed-laser deposition (PLD). A 20-nm-thick $\text{Sm}_{0.2}\text{Ce}_{0.8}\text{O}_{1.9}$ buffer layer was also deposited to suppress cation interdiffusion between the YSZ and LSCF thin film. The PLD deposition was performed at 700 °C and 5 mTorr O_2 with a laser fluence of 1.5 J cm^{-2} at 10 Hz and a substrate-to-target distance of 70 mm. The high activity and large area of the counter electrode guaranteed that the counter-electrode reaction did not limit the cell performance. Finally, 150-nm-thick $\text{Pr}_{0.1}\text{Ce}_{0.9}\text{O}_2$ (PCO) thin films were deposited using PLD. An Inconel shadow mask, with an opening of $0.5 \times 2 \text{ mm}^2$, was employed to deposit PCO on top of the Pt-patterned current collector. The PLD deposition was performed at 700 °C and 5 mTorr O_2 with a laser fluence of 1.5 J cm^{-2} at 10 Hz and a substrate-to-target distance of 70 mm.

A solid-state method was used to prepare PCO polycrystalline targets. Pr_2O_3 and CeO_2 high-purity powders (99.99%, Sigma-Aldrich) were weighed according to the desired stoichiometry, ground in a mortar and then pressed into 1-inch-radius pellets. The as-obtained pellets were calcined at 900 °C for 5 h and then at 1,250 °C for 9 h in Ar to obtain dense targets for PLD. A similar procedure was used to fabricate the LSCF target, the details for which were previously reported⁵².

Sample characterization. X-ray diffraction patterns of the as-deposited thin films were obtained in the 2θ range of 20–80° (Panalytical X'Pert PRO, $\text{CuK}\alpha$ radiation). The films crystallized in the cubic fluorite structure (Supplementary Fig. 3). The film thickness was determined using a surface profilometer (Dektak 150, Veeco). The thin-film composition was examined using inductively coupled plasma–mass spectrometry (ICP–MS, Thermo Scientific XSERIES 2). The surface morphology was characterized using atomic force microscopy (Park Systems XE-100).

Electrochemical measurements. Electrochemical measurements were performed using a custom electrochemical testing system that did not use any metal paste or silicon-containing materials. The aim was to use high-purity alumina and noble metals to reduce or eliminate the convoluting effects of binding agents in metal pastes, as these agents can combust or volatilize. A gas manifold of synthetic high-purity gas mixtures of O_2 balanced with Ar was used to control the oxygen partial pressure, $p\text{O}_2$, using MKS P4B mass-flow controllers.

Electrochemical APXPS. The measurement was carried out at beamlines 11.0.2 and 9.3.2 of the Advanced Light Source at Lawrence Berkeley National Laboratory⁵³. The samples were mounted onto a gold mesh (counter) on the ceramic heater of a custom-made heating and biasing stage⁵⁴. Pt–Ir (10%–20% Ir) wires were used as an electrical contact and to hold the working electrode onto the heater. The temperature was determined from the ohmic offset of YSZ using electrochemical impedance spectroscopy (Biologic SP-300 potentiostat) of model dense Pt film devices calibrated in a tube furnace with a thermocouple near the device. The entire APXPS experiment was performed under defined bias, and the alternating voltage perturbation was 10 mV.

Electrochemical ambient pressure XAS. Operando XAS in partial electron-yield detection mode was measured at 100 mTorr O_2 at 450 and 600 °C at beamline 11.0.2 of the Advanced Light Source at Lawrence Berkeley National Laboratory⁵³. The sample mounting, temperature calibration and electrochemical measurements were the same as those used for the APXPS experiment. The background was removed from the spectra by fitting a line to the Pr M_1 pre-edge. The spectra were subsequently normalized by the Pr M_5 post-edge.

Data availability

Source data that support the findings of this study are available from the corresponding author on request.

Received: 30 July 2019; Accepted: 14 November 2019;

Published online: 6 January 2020

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Acknowledgements

This work was supported by the National Science Foundation under award no. 1336835. MIT researcher was supported by grant DE SC0002633 funded by the US Department of Energy, Office of Basic Science. The Advanced Light Source was supported by the Director, Office of Science, Office of Basic Energy Sciences and the Division of Chemical Sciences, Geosciences, and Biosciences of the US Department of Energy at the Lawrence Berkeley National Laboratory under contract no. DE-AC02-05CH11231. We thank C.-C. Chen from Stanford University and Q. Lu from Oak Ridge National Laboratory for critical reading of the manuscript and helpful discussions on XAS. We thank Q. Xu and W. Zhong from Tsinghua University for helpful discussions on data visualization.

Author contributions

D.C. designed the experiment. Z.G. derived the general microkinetic model for MIECs and D.C. adapted the model for this study. D.C., Z.G. and D.Z. performed the experiments. S.N., L.T., E.C. and H.B. supported the beamline experiments. D.C. analysed the data. D.C., H.L.T. and W.C.C. wrote the manuscript. All authors revised the manuscript. W.C.C. supervised the project.

Competing interests

The authors declare no competing interests.

Additional information

Supplementary information is available for this paper at <https://doi.org/10.1038/s41929-019-0401-9>.

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